

"Express Mail" mailing label number EL 594214712 US.

Date of Deposit April 25, 2001

Case No. 7103-200

## PATENT APPLICATION TRANSMITTAL LETTER

To: The Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Allan M. Rodman for: SPHERICAL CAP-SHAPED POLISHING HEAD IN A CHEMICAL MECHANICAL POLISHING APPARATUS FOR SEMICONDUCTOR WAFERS. Enclosed are:

- ☒ 1 sheet of drawings, 20 pages of application (including title page), and the following Appendices : \_\_\_\_.
- ☒ Declaration.
- ☒ Power of Attorney.
- ☐ Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- ☒ Assignment transmittal letter and Assignment of the invention to : LAM RESEARCH CORPORATION.
- ☒ Assignment Recordal Fee \$40.00.

11046 U.S. PTO  
09/843323  
04/25/01

Claims as Filed	Col. 1	Col. 2
No. Filed	No. Filed	No. Extra
Basic Fee		
Total Claims	19-20	0
Indep. Claims	3-3	0
Multiple Dependent Claims Present		

\*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 355
x\$9=	\$
x\$40=	\$
+\$135=	\$
Total	\$

Other Than Small Entity	
Rate	Fee
	\$ 710
x\$18=	\$
x\$80=	\$
+\$270=	\$
Total	\$710

☐ Please charge my Deposit Account No. 23-1925 in the amount of \$: \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$: 710 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR § 1.16.

☒ Any patent application processing fees under 37 CFR § 1.17.

☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.

☐ Any patent application processing fees under 37 CFR § 1.17.

☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

Date

4/25/01  
Linda D. Kennedy  
BRINKS HOFER GILSON & LIONE  
Registration No. 44,183

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p align="center"><b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b></p>	<p><b>First Named Inventor</b></p> <p>Allan M. Radman</p>	
	<p><b>Title</b></p>	<p>SPHERICAL CAP-SHAPED POLISHING HEAD IN A CHEMICAL MECHANICAL POLISHING APPARATUS FOR SEMICONDUCTOR WAFERS</p>
	<p><b>Atty Docket Number</b></p>	<p>7103-200 (P0704)</p>

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1. **General Information**  
 Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Phone: \_\_\_\_\_  
 Date: \_\_\_\_\_

2. **Personal Information**  
 Age: \_\_\_\_\_ Sex: \_\_\_\_\_  
 Height: \_\_\_\_\_ Weight: \_\_\_\_\_  
 Blood Type: \_\_\_\_\_  
 Marital Status: \_\_\_\_\_  
 Number of Children: \_\_\_\_\_  
 Occupation: \_\_\_\_\_  
 Education: \_\_\_\_\_  
 Religion: \_\_\_\_\_  
 Political Affiliation: \_\_\_\_\_  
 Hobbies: \_\_\_\_\_  
 Pets: \_\_\_\_\_  
 Languages Spoken: \_\_\_\_\_  
 Travel History: \_\_\_\_\_  
 Medical History: \_\_\_\_\_  
 Allergies: \_\_\_\_\_  
 Current Medications: \_\_\_\_\_  
 Insurance Information: \_\_\_\_\_  
 Social Security Number: \_\_\_\_\_  
 Driver's License Number: \_\_\_\_\_  
 Vehicle Information: \_\_\_\_\_  
 Other Information: \_\_\_\_\_

3. **Financial Information**  
 Annual Income: \_\_\_\_\_  
 Monthly Expenses: \_\_\_\_\_  
 Savings: \_\_\_\_\_  
 Investments: \_\_\_\_\_  
 Credit History: \_\_\_\_\_  
 Bank Accounts: \_\_\_\_\_  
 Insurance Policies: \_\_\_\_\_  
 Other Financial Information: \_\_\_\_\_

4. **Legal Information**  
 Will: \_\_\_\_\_  
 Power of Attorney: \_\_\_\_\_  
 Health Care Directive: \_\_\_\_\_  
 Other Legal Documents: \_\_\_\_\_  
 Legal History: \_\_\_\_\_  
 Other Legal Information: \_\_\_\_\_

5. **Other Information**  
 Comments: \_\_\_\_\_  
 Signature: \_\_\_\_\_  
 Date: \_\_\_\_\_

Date \_\_\_\_\_

2/20/01

Signature \_\_\_\_\_

Linda D. Kennedy  
Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**